**Annex 4**

**D E C L A R A T I O N**

**of De minimis[[1]](#footnote-1) and State Aid**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **1.** | **The undersigned** | | | */full name of the declarant/* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **In the capacity as** | | | */general manager/chairman/representative/other/* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Title of the beneficiary/applicant:** | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **2.** | **UIC/BULSTAT/UCN/ Foreign identification number:** | | |  | |  |  |  | | |  | | | |  | | | | |  | | | |  | | | |  | | | |  | | | |  | | | | |  | | | | | |  | | |  | | | |  | |
| **3.1.** | **Headquarters and Management address:** | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **3.2.** | **Correspondence address:**  */To be filled in only if different from the management address under 3.1/* | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **4.** | **Does the beneficiary / applicant perform economic activity \***:  */If you indicate “NO”, stop filling out the Declaration here/* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **4а.** | If you have indicated "YES" in item 4, **then the beneficiary / applicant is an "undertaking"** within the meaning of  the State aid legislation and **you shall fill in the following information about it:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| № | Activities performed by the enterprise (NACE.BG -2008 / NACE Rev.2 classification) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 2. |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **5.** | Sector classification of the enterprise **according to its**  **main economic activity under NACE.BG - 2008 /**  **NACE Rev.2.**  *enter code under NACE.BG - 2008 / NACE Rev.2. and its*  *corresponding meaning/* | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **6.** | **Purpose of the aid (action to be financed):** | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **7.** | **Type of enterprise:**  */ Mark with the X sign /* | | | large | | | | | | medium | | | | | | | | | | | | | | | | | small | | | | | | | | | | | | | | | | micro | | | | | | | | | | | | |
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| **8.** | **Please indicate ownership of the enterprise as of Year X \*\*:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ***The ownership of the enterprise is:*** | | | | | | | | | ***Relative share of capital in %:*** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| *State* | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| *Municipal* | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| *Private* | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Total:** | | | | | | | | | **100.00** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **9.** | In relation to the aid applied for, is there a partnership for the recipient / applicant with another enterprise?  ***(In case the recipient / applicant has a partner (partners), a declaration (declarations) shall also be submitted by the partner(s).)*** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **9а.** | If you have indicated "YES" in item 9, please fill out the following information for the partner(s): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Partner name:** | | | | | | | | | | | | **UIC/BULSTAT/UCN/ Foreign identification number:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **I DECLARE THAT:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **10.** | I have circumstances of transformation: merger / acquisition / split?  **(To be filled in only when the transformation took place after 01.01.2014)**  **If you have indicated "YES", please also complete a declaration under paragraph 6 of the Instructions to this Declaration.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **11.** | I maintain at least one of the relationships under Art. 2, par. (2) (a) to (d) of Regulation (EU) No 1407/2013: **If you have indicated "YES", fill in the following information for enterprises that form a "single undertaking":** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **Name:** | | | | | | | | | | | | **UIC/BULSTAT/UCN/ Foreign identification number:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **12.** | In the previous two years (year "X-1" and year X-2) and in the current year "X" until the date of the Declaration I have received, **including as a result of the transformation under item 10, and as a "single undertaking" pursuant to item 11**, the following de minimis aid (received in the territory of the Republic of Bulgaria \*\*\*): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Beneficiary(s)** (name and UIC/BULSTAT/ UCN/ Foreign identification number:) | | **Aid Administrator**  (name and UIC/BULSTAT) | | **Costs / purpose for which the aid has been received**  (in words) | | | | **Total amount of the aid = a+b+c+d+e**  (in BGN) | | | | | | | | | | | | **for activity(s) falling within the relevant thresholds:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **a** | | | | | | | **b** | | | | | | | **c** | | | | | | | | | **d** | | | | | | | | **e** | | | |
| **"Road transport"** | | | | | | | **other activities under Reg. (EU)1407/2013** | | | | | | | **SGEI under Reg. (EU) 360/2012[[2]](#footnote-2)** | | | | | | | | | **under Reg. (ЕС) 1408/2013[[3]](#footnote-3)** | | | | | | | | **under Reg. (ЕС) 717/2014[[4]](#footnote-4)** | | | |
| Up to  EUR 100 000 (BGN 195 583) | | | | | | | Up to  EUR 200 000 (BGN 391 166) | | | | | | | Up to  EUR 500 000  (BGN 977 915) | | | | | | | | | Up to  EUR 15 000  (BGN 29 337,45) | | | | | | | | Up to  EUR  30 000 (BGN 58 674,90) | | | |
| **Year**  **„Х“** |  | |  | |  | | | |  | | | | | | | | | | | |  | | | | | | |  | | | | | | |  | | | | | | | | |  | | | | | | | |  | | | |
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| **Year**  **„Х-1“** |  | |  | |  | | | |  | | | | | | | | | | | |  | | | | | | |  | | | | | | |  | | | | | | | | |  | | | | | | | |  | | | |
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| **Year „Х-2** |  | |  | |  | | | |  | | | | | | | | | | | |  | | | | | | |  | | | | | | |  | | | | | | | | |  | | | | | | | |  | | | |
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| **Total:** | | | | | | | | | ∑(a+b+c+d+e) | | | | | | | | | | | | ∑a | | | | | | | ∑b | | | | | | | ∑c | | | | | | | | | ∑d | | | | | | | | ∑e | | | |
| **13.** | The activity being funded **falls within the scope of Regulation (EU) No 1407/2013:**  */If you indicate "NO", stop filling out the Statement here. /* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **13а.** | The beneficiary / applicant also carries out **activity(s) excluded from the scope of Regulation (EU) No 1407/2013:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **13б.** | In the case of item 13**, the total amount** of the de minimis aid for the beneficiary / applicant and the enterprises with which it forms the "single undertaking" **does not exceed the BGN equivalence of EUR 200 000** over a period of three consecutive years (years “X“, “X-1“ and “X‑2“): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **14.** | De minimis is granted for **road freight transportation for hire or reward, pursuant to Regulation (EU) No 1407/2013:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **14а.** | In the case of item 14**, the total amount** of the de minimis aid for the beneficiary / applicant and the enterprises with which it forms the "single undertaking" **does not exceed the BGN equivalence of EUR 100 000** over a period of three consecutive years (years “X“, “X-1“ and “X‑2“): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **15.** | The beneficiary / applicant is pursuing a **service of general economic interest under Regulation (EU) No 360/2012:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **15а.** | Upon an affirmative answer in item 15 **the total amount** of the de minimis aid for the beneficiary/ applicant and the enterprises with which it forms the "single undertaking" **must not exceed the BGN equivalent of EUR 500 000** over a period of three consecutive years "X", "X-1" and "X‑2"): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **16.** | The beneficiary/applicant is active in **the agricultural sector under Regulation (EU) No 1408/2013:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **16а.** | In the case of item 16**, the total amount** of the de minimis aid for the beneficiary / applicant and the enterprises with which it forms the "single undertaking" **does not exceed the BGN equivalence of EUR 15 000** over a period of three consecutive years (years “X“, “X-1“ and “X‑2“): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **17.** | The recipient / applicant is active in **the fishery and aquaculture sector under Regulation (EU) No 717/2014:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **17а.** | In the case of item 17**, the total amount** of the de minimis aid for the beneficiary / applicant and the enterprises with which it forms the "single undertaking" **does not exceed the BGN equivalence of EUR 30 000** over a period of three consecutive years (years “X“, “X-1“ and “X‑2“): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **18.** | The enterprise **maintains analytical accounting,** ensuring separation of activities and /or distinction of costs**, proving that the aid is for the activity under point 6:**  */Fill in only if the enterprise performs more than one type of activity/* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **19.** | For the same acceptable (eligible) costs, **I have received State aid** from **other sources of funding, including at group level within the meaning of Annex I to Regulation (EU) No 651/2014 (OJ L 187 of 26.6.2014):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | | | | | | | NO | | | | |
| **19а.** | If you have indicated "YES" in item 19, please fill in the following information: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Aid Administrator**  (Name UIC, BULSTAT) | | | | | | | | | | | | | **Grounds for receiving the aid** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **20.** | In a case of a change in the circumstances declared herewith I am obliged to submit a new Declaration within 5 working days from the date of change occurred. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **21.** | I am aware that, for making a false Declaration, I shall be liable for a criminal responsibility under Art. 313 of the Criminal Code. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

**DATE: ………………20\_\_ г. DECLARER: ……………………………………**

*/signature/*

1. This Declaration has been developed for the purposes of Commission Regulation (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid (OB L 352/1 of 24.12 .2013), which can be adapted to the requirements of any other minimum aid regulation.

   \* Economic activity is any activity consisting in offering of goods and / or services on a given market. The State aid regime applies to all economic operators regardless of their legal form, the way they are registered and their financing. In this sense, these entities are an 'undertaking' for the purposes of State aid Act.

   \*\* Year "X-1" is the year preceding the year - year "X".

   [↑](#footnote-ref-1)
2. Commission Regulation (EU) No 360/2012 of 25 April 2012 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid for undertakings providing services of general economic interest (OJ, L 114 of 26.4.2012); [↑](#footnote-ref-2)
3. Commission Regulation (EU) No 1408/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid to the agricultural sector (OJ, L 352/9 of 24.12.2013); [↑](#footnote-ref-3)
4. Commission Regulation (EU) No 717/2014 of 27 June 2014 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid in the fishery and aquaculture sector (OJ, L 190 of 28.6.2014);

   \*\*\* Aid received in the territory of another Member State of the European Union is not subject to this Declaration. [↑](#footnote-ref-4)